

**Annual report according to Art. 7 para. 3 of EU Regulation 2017/821
(EU due diligence in raw material supply chains) for FY 2023**

Umicore is a global materials technology and recycling group with over 11,000 employees and more than 60 sites. Umicore AG & Co. KG (Umicore) in Hanau is a 100 % subsidiary of the Belgian Umicore SA with headquarters in Brussels. The primary objective of the business unit Precious Metals Management is to ensure the supply of precious metals to its own production sites.

As part of our commitment to responsible sourcing of precious metals, we pay particular attention to a transparent supply chain and responsible sourcing of raw materials. As a consequence, Umicore adheres to the relevant applicable standards, as defined in the EU's conflict minerals regulation 2017/821 and in the closely related appendix II of the OECD Due Diligence Guidance (OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas).

The Supply Chain Policy is publicly available on the Umicore website at <https://www.umicore.com/en/sustainability/responsible-sourcing/>. Compliance with the supply chain policy is obligatory for all Umicore employees as well as for its suppliers. It is communicated by means of a direct letter and/or via our website. Compliance with the supply chain policy and standards is assessed and verified as part of the due diligence process. We encourage all our employees and potential stakeholders to raise concerns about our supply chain or doubts about traceability or the identification of risks that may violate this policy. In the period under review no incidents were registered.

Umicore works with selected suppliers with whom we have long-standing business relationships. Our precious metals are only sourced from LBMA-accredited refineries or from suppliers who trade in LBMA-accredited precious metals. In the reporting period (2023), no conflict minerals (Gold) were purchased from sources outside the European Union. In general, Umicore AG & Co. KG does not source precious metals from conflict-affected and high-risk areas (CAHRAs).

The cooperation with our suppliers is regularly assessed and evaluated. If violations or deviations are identified, measures to contain and avoid risks are taken immediately. Depending on the risk assessment and the success of measures taken, business relationships are continued, suspended or terminated.

A third-party audit in accordance with Article 6 of the above-mentioned regulation was successfully completed in November 2023. You can view the corresponding audit report from DQS GmbH, Frankfurt, on our website under the heading 'Sustainability'.

Any violations of the supply chain policy, ethical concerns or complaints of a confidential nature can be reported at any time to our central department 'Trade Compliance' (customs-and-trade-compliance@umicore.com). If you have any questions about the Supply Chain Policy or this Annual Report, please also contact the above email address.

Furthermore, it is possible to contact our Belgian parent company Umicore SA directly and anonymously: <https://www.umicore.com/en/contact/integrity-line/>



In all our commercial relationships Hanau shall be the Exclusive Place of Jurisdiction. Our General Sales Conditions and, if applicable, our General Tolling Conditions shall apply; these can be found under <http://www.umicore.com/general-terms-conditions>.

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Umicore AG & Co. KG, Registered Office Hanau
Registered at Hanau Municipal Court HR A 92422
General Partner Umicore Management AG
Registered Office Hanau
Registered at Hanau Municipal Court HR B 7200
Chairman of Supervisory Board
Mathias Miedreich
Executive Board Dr. Bernhard Fuchs